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# 3 **Modeling membrane geometries implicitly in Rosetta**

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## Abstract

23 Interactions between membrane proteins (MPs) and lipid bilayers are critical for many cellular  
24 functions. In the Rosetta molecular modeling suite, the implicit membrane energy function is based on a  
25 “slab” model, which represent the membrane as a flat bilayer. However, in nature membranes often have  
26 a curvature that is important for function and/or stability. Even more prevalent, in structural biology  
27 research MPs are reconstituted in model membrane systems such as micelles, bicelles, nanodiscs, or  
28 liposomes. Thus, we have modified the existing membrane energy potentials within the RosettaMP  
29 framework to allow users to model MPs in different membrane geometries. We show that these  
30 modifications can be utilized in core applications within Rosetta such as structure refinement, protein-  
31 protein docking, and protein design. For MPs structures found in curved membranes, refining these  
32 structures in curved, implicit membranes produces higher quality models with structures closer to  
33 experimentally determined structures. For MP systems embedded in multiple membranes, representing  
34 both membranes results in more favorable scores compared to only representing one of the membranes.  
35 Modeling MPs in geometries mimicking the membrane model system used in structure determination  
36 can improve model quality and model discrimination.

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## Introduction

40 Membrane proteins (MPs) exist in complex and diverse membrane environments in which they  
41 function. Cellular membranes adopt different geometries and cover a variety of different lipid  
42 compositions which affect membrane thickness. Lipid composition, thickness and curvature vary  
43 depending on species, cell type, and organelle the membrane belongs to [1-3]. Membranes also have  
44 local variability in lipid composition and thickness within the same bilayer. For example, lipid rafts can  
45 have a different lipid composition or thickness than their surrounding membrane. Protrusions and  
46 invaginations of the membrane, such as filopodia or caveolae, result from local membrane curvature in  
47 a larger bilayer. Vesicles, important for transport of various cargo molecules, are another classic example  
48 of curved membranes.

49 Interactions between MPs and their lipid bilayer affect one another's shape, stability, and function  
50 [3-5]. Some MPs modify the membrane they are in, either by changing their thickness (for example some  
51 GPCRs [6]), curvature (for example BAR domains [7]), lipid composition (for example flippases,  
52 floppases, and scramblases [8]) or recruiting specific lipids to their location (for example some channels  
53 such as aquaporin Z (AqpZ) and the ammonia channel (AmtB) [9]). Reversely, the membrane bilayer  
54 can directly affect the function of many MPs such as in ABC transporters, RTKs, and mechanosensitive  
55 channels including some channels from the Piezo, TRP, MscL, and TREK families [2, 10-16].

56 Certain MPs such as piezo channels and BAR domains can induce membrane curvature [17, 18].  
57 Curved membrane environments can impact stability and structure of MPs [19-23]. For example,  
58 bacteriorhodopsin's stability depends on the degree of curvature of the membrane system [20]. MPs can  
59 also introduce pores into the membrane bilayers either by destabilizing the bilayer [24] or through  
60 containing a pore in their structure, as is the case for channels and transporters. Further, some MPs are

61 large complexes that insert into two membranes in close proximity, such as gap junction channels across  
62 two cells [25] or efflux pumps that are multi-protein complexes that traverse the periplasm and insert  
63 into both the outer and inner membrane in Gram-negative bacteria [26].

64 Because structure determination of MPs in native membranes is practically challenging, MPs are  
65 typically solubilized into model membrane systems that allow for structure determination. These systems  
66 involve artificial membrane geometries that are rarely or never seen in cellular environments. Commonly  
67 used model membrane systems include detergent micelles, mixed detergent and lipid bicelles, lipid  
68 nanodiscs, and liposomes which vary in molecular composition [27, 28]. The choice of model membrane  
69 systems can impact the structure and stability of the MP [27, 29, 30]. Unfortunately, the model membrane  
70 system geometry in which experiments are performed is often disregarded during structure determination  
71 and is instead replaced by a flat bilayer (see below).

72 Despite significant progress in recent years, MP structure prediction and design lags behind that of  
73 soluble proteins [31]. Recent machine learning algorithms for protein structure prediction and design,  
74 such as AlphaFold2 [32, 33] and ProteinMPNN [34], neglect the membrane environment, which may  
75 cause inaccurate predictions in some cases [35]. Because state-of-the-art machine learning techniques  
76 depend on large amounts of training data, the vast under-representation of MP structures in the Protein  
77 Data Bank (PDB) as compared to soluble proteins [36, 37] (2.7% vs. 97.8% or 36-fold) is most likely  
78 the main reason for the limitations of these methods when it comes to MPs.

79 Computational modeling of membrane bilayers is achieved using explicit or implicit solvent.  
80 Molecular dynamics simulations often use explicit solvent models where diverse lipid bilayers or model  
81 membrane systems are modeled by representing individual lipid or detergent molecules, which is  
82 computationally expensive [38]. In contrast, implicit membrane energy functions, like those used in  
83 Monte-Carlo techniques such as the Rosetta software, are computationally efficient and model the effects  
84 the membrane has on protein structures [31, 39, 40] through the interaction of the protein with a

85 continuous medium of average bilayer properties. Implicit membrane models such as IMM1 [40] are  
86 useful for many applications, including MP structure prediction, protein-protein docking, and design [39,  
87 41-43]. While much progress has been made in improving these models to more accurately represent the  
88 membrane environment, most implicit membrane models are limited to a flat representation of the  
89 membrane, or a “slab” model. The slab neglects the fact that membrane proteins may exist and function  
90 in different geometries such as highly curved membranes with a radius of curvature as low as 50 Å [12],  
91 model membrane systems such as micelles, bicelles, nanodiscs, or double membranes.

92 Recent improvements to the Rosetta implicit membrane model allow more realistic bilayer  
93 representations by customizing parameters to model different lipid compositions [39]. This update also  
94 included the ability to model aqueous pores in MPs. One limitation of this membrane model is the lack  
95 of geometrical diversity it is able to simulate. One method and web server, PPM 3.0 ("Positioning of  
96 Proteins in Membranes"), accounts for different membrane geometries such as curvature or two  
97 membranes [44]. The PPM 3.0 server evaluates whether a protein is more likely to exist in a flat or curved  
98 membrane based on the transfer free energy from water to the membrane environment, calculated from  
99 the protein structure. The PPM server runs a grid search of a MP placed in a flat membrane, a curved  
100 membrane, and also testing different radii of curvature from 80 to 600 Å, to find the minimum transfer  
101 free energy and therefore the ideal membrane geometry for the protein structure in question. In case the  
102 MP is embedded in two or more membranes, it can give the optimal relative placement of each bilayer  
103 around the protein. The authors provide many examples of MP structures that were optimally placed in  
104 curved membranes or in multiple membranes [44]. This algorithm was incorporated into the PPM  
105 webserver and OPM (“Orientations of Proteins in Membranes”) database. Thus one can input their  
106 protein structure of interest or look up the PDB ID to see the predicted optimal membrane placement  
107 [45, 46]. FMAP ("Folding of Membrane Associated Peptides"), uses the peptide sequence to predict  
108 helical propensity, membrane depth, and ideal membrane geometry for different environments (water,

109 lipid bilayer, or a micelle with a fixed radius depending on detergent [47]. Both methods are limited to  
110 placing the protein into the membrane and do not allow for further modeling.

111 Here we introduce a substantial code refactor within the RosettaMP framework to allow the use of  
112 different geometries in the implicit membrane model and in modeling applications. These geometries  
113 include the traditional flat model, an ellipsoid model to represent model membrane system geometries  
114 such as micelles, bicelles, and nanodiscs, a spherical bilayer similar to a vesicle to simulate curvature,  
115 and a model with two membranes. We provide examples of how using these models improve  
116 computational predictions for protein design, protein-protein docking, and high-resolution refinement.

## 117 **Results**

### 118 **We added different implicit membrane geometries to RosettaMP**

119 This substantial refactor was geared to achieve multiple goals such as: (1) adapting the current  
120 implicit membrane models for geometries that mimic micelles, bicelles, vesicles, membrane curvature,  
121 and double bilayers, (2) adapting the code interface to facilitate implementation of new geometries (for  
122 example lipidic cubic phases), (3) streamlining the current implementation by disentangling the pore  
123 from membrane geometries to be used independently and creating a membrane representation from a  
124 single, consistent implementation, thereby (4) minimizing code duplication, (5) improving testing, and  
125 (6) visualizing membrane geometries onto protein structures.

### 126 **Previous implicit membranes in Rosetta only describe a flat membrane**

127 Mathematically, the membrane is modeled by a transition function that describes the transition from  
128 the aqueous environment to the hydrophobic environment of the membrane. Two transition functions  
129 had previously been implemented, one based on IMM1 and an adaptation to model different lipid  
130 compositions. Disregarding the pore, both transition functions score atoms based on their distance from

131 the center of a membrane plane, meaning that the hydrophobicity at a specific position is only dependent  
132 on the depth in the membrane (z-coordinate). This creates the implicit slab membrane as shown in Figure  
133 1A.

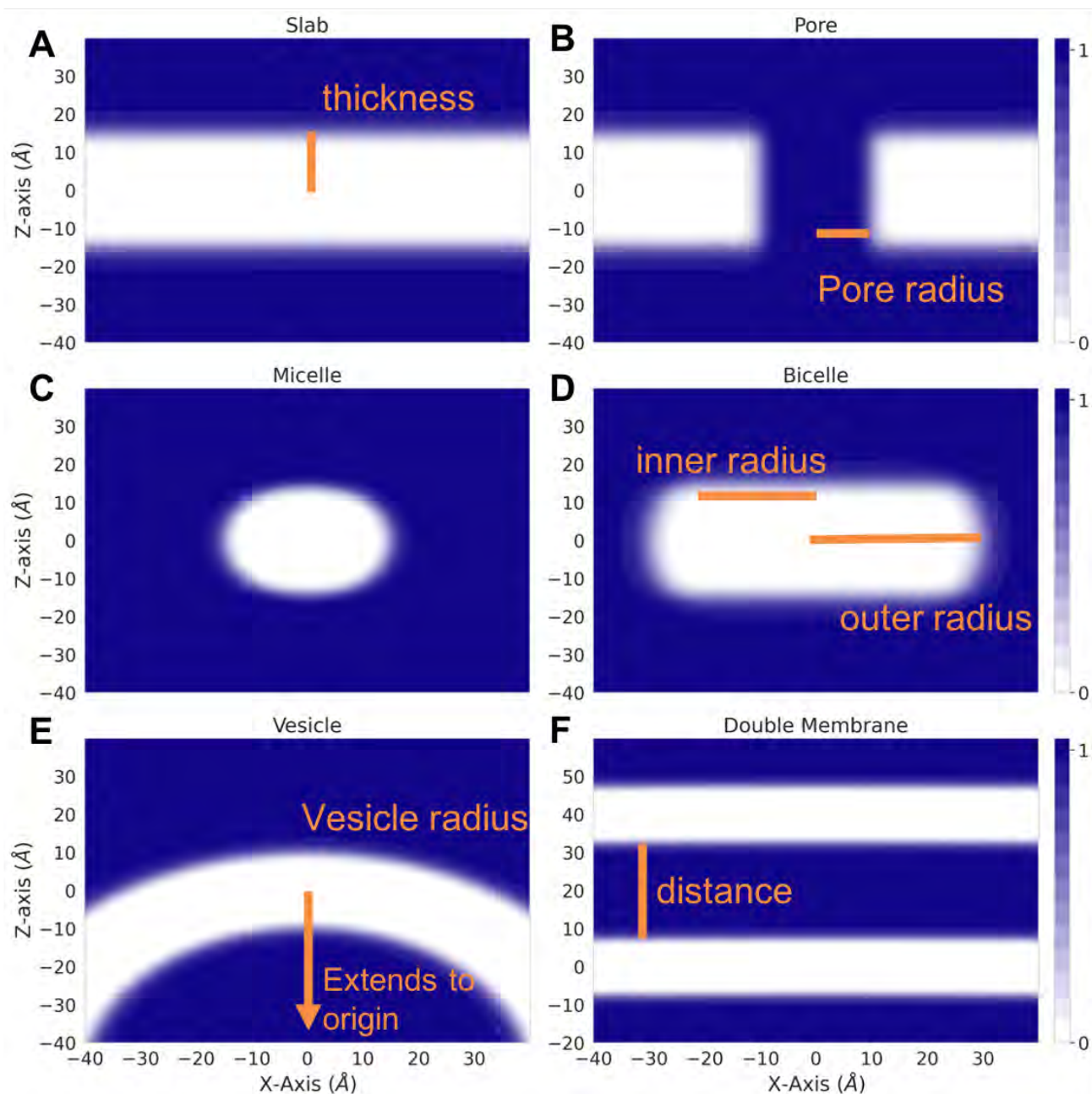
### 134 **Expanding beyond flat membranes through implementation of mathematical representations of** 135 **desired geometries**

136 To model different geometries, the new transition functions must be dependent on the distance to  
137 the membrane center in more than just one dimension. The pore was the first adaptation that introduced  
138 a three-dimensional dependence (Figure 1B). In order to create an ellipsoid geometry similar to micelles  
139 and bicelles, we created a functional form that combines the slab transition function [48] with a function  
140 that describes the dependence on the distance to the center of the ellipsoid (Figure 1C and D). Although  
141 micelles and bicelles have different chemical and physical properties, we use the same function to  
142 describe their shape whereas the micelle is a special case of the bicelles with an inner radius of the  
143 ellipsoid (Figure 1D) just large enough to surround the transmembrane region of the protein. To model  
144 a curved membrane such as a vesicle, we model the membrane as a sphere around an origin (0, 0, -z) and  
145 keep the membrane center as the location of the membrane residue [41]. The vesicle concept is extended  
146 to describe two membranes by a double vesicle (two concentric spheres) that, with a large radius,  
147 represent two essentially flat membranes (Figure 1F). Detailed descriptions of equations and parameters  
148 can be found in the Methods section.

### 149 **Code design ensures current and new geometries are compatible across membrane energy** 150 **functions**

151 To achieve our goal of generalizability across score functions and simplify the implementation of  
152 new geometries, we introduced an abstract base class into the RosettaMP framework that all other  
153 membrane geometry classes are derived from (Figure S1). By defining pure virtual functions within the

154 MembraneGeometry base class, we ensure that all derived classes (or new geometries) define the  
155 transition function and the derivative of the transition function for that geometry. Functions used across  
156 different geometry classes are defined in the MembraneGeometry base class to decrease code  
157 duplication. Implementation details, function definitions, and score terms that depend on them, can be  
158 found in the Methods section. This implementation ensures that new geometries can be implemented by  
159 solely creating a class and updating options for setting the new geometry without updating each  
160 individual score term that depends on the transition function. This also allows for geometries to be used  
161 across score functions, and the decoupling of the pore model from the membrane score terms. Therefore,  
162 membrane dependent score terms implemented before the pore can utilize the pore model as well.



**Figure 1: Implicit Membrane Energy Transition Functions.** Value of transition functions for different geometries are shown in the XZ plane, at Y = 0. Atoms with transition function values of 0 (white) are evaluated as being in a completely hydrophobic environment. Atoms with transition function values of 1 (blue) are evaluated as being in a hydrophilic environment. Atoms with transition function values in between are weighted based on their depth in the membrane. Input parameters are shown in orange. A. Slab B. Slab including a pore. C. Micelle, inner radius = 0 D. Bicelle E. Vesicle F. Double Vesicle

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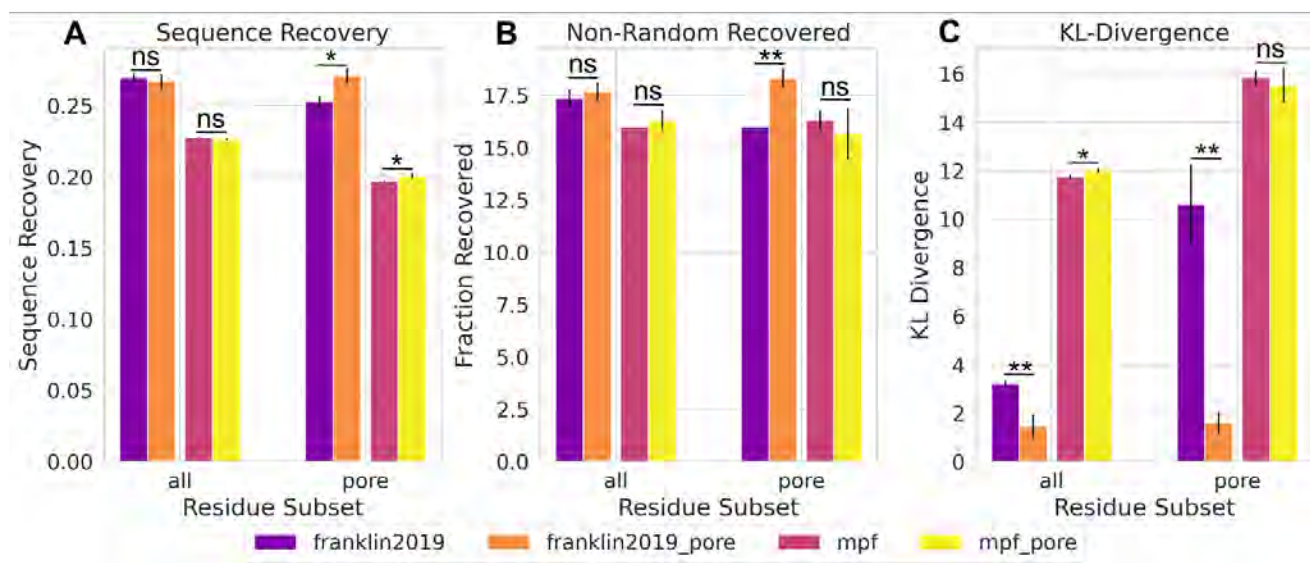
**Optimized code design allows for integrating membrane geometries into different applications**

165 Implementation of the membrane geometries following the same object oriented design principles  
166 used in the RosettaMP framework allows for use in any application accessible to the RosettaMP  
167 framework [41], including but not limited to design, refinement, and protein-protein docking. This  
168 implementation also ensures a consistent user interface with the same command line options for setting  
169 geometry parameters across applications. The membrane geometries are also accessible through the  
170 different Rosetta scripting interfaces, including RosettaScripts [49] and PyRosetta [50].

### 171 **New implementation decouples pore model from energy functions, as tested for design**

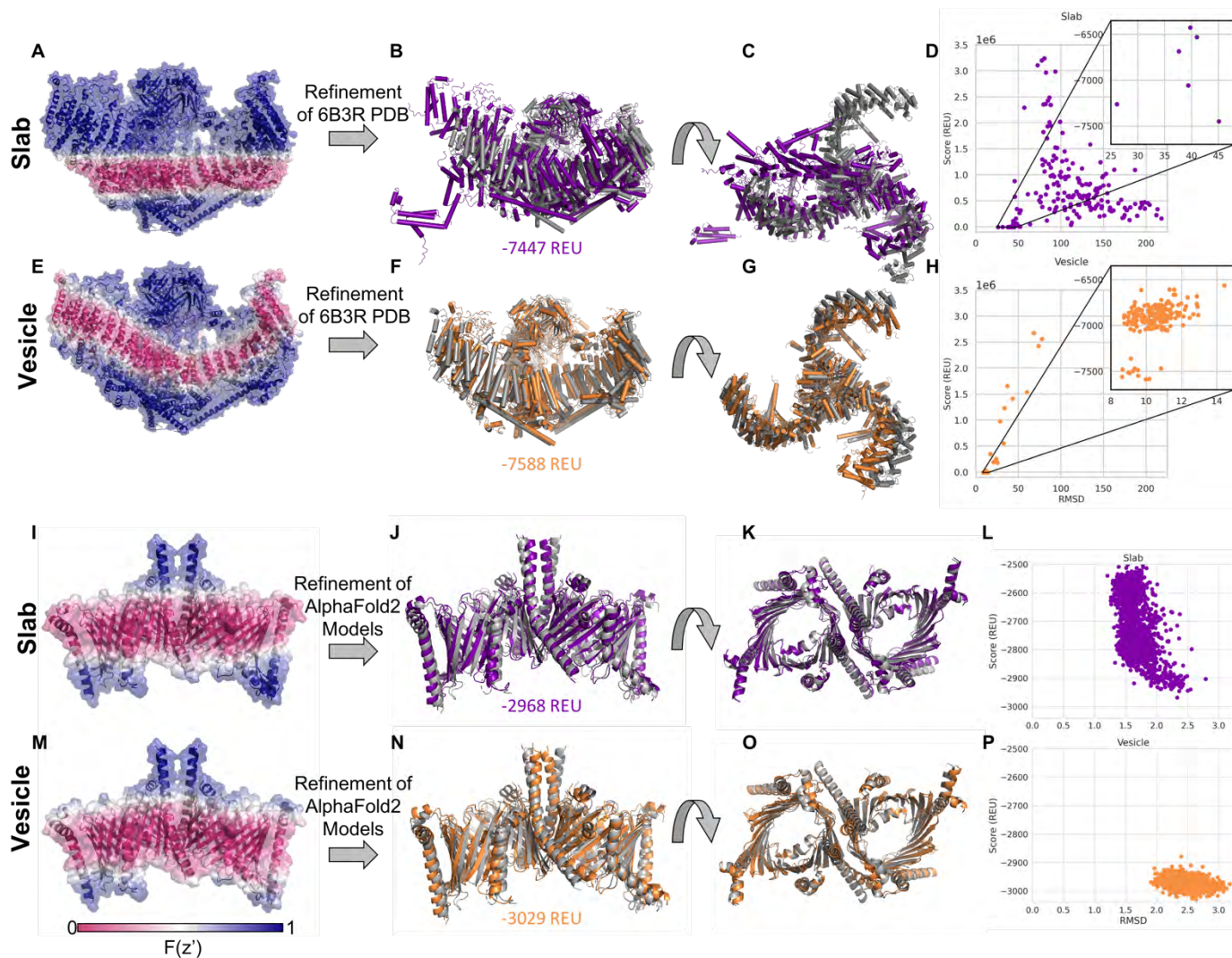
172 Alford et al. described an improvement in protein design using their newly developed energy  
173 function, *franklin2019*, compared to previous membrane energy functions [39]. However, it was not clear  
174 if these improvements came from the new energy function, from the inclusion of the pore, or a  
175 combination of the two. This could not be tested because the pore was incompatible with older membrane  
176 energy functions. Our reimplementing of the pore function into the MembraneGeometry class allows  
177 for its use across applications and energy functions. Therefore, we are able to test protein design  
178 including the pore for both energy functions, *mpframework2012* and *franklin2019*. We used an  
179 established membrane protein design benchmark set to test where this improvement comes from [51].  
180 The test uses three different metrics to describe performance: (1) sequence recovery is the fraction of  
181 native residues recovered after design over all designable positions. (2) non-random recovery of  
182 individual amino acids, where the recovery rate for each amino acid is calculated relative to the  
183 background probability of randomly guessing the native amino acid (1/20). (3) The Kullback-Leibler  
184 (KL) divergence measures how different distributions of designed amino acids are compared to native  
185 distributions. The design test done on the subset of proteins from the dataset that contain a pore to  
186 highlight the effect of accounting for an aqueous pore. The design protocol was run with four different  
187 energy function conditions: *mpframework2012* without the pore included, *mpframework2012* with the

188 pore, *franklin2019* without the pore, and *franklin2019* with the pore. When analyzing all residues in each  
 189 protein, *franklin2019* outperforms the *mpframework2012* energy function with respect to all three  
 190 metrics. Inclusion of the pore in either *franklin2019* or *mpframework2012* score functions does not  
 191 improve the sequence recovery or non-random recovery



**Figure 2: Sequence Design of Pore Across Score Functions.** Our implementation allows the decoupling of the pore model from the energy functions, here shown for *franklin2019* and *mpframework2012* (*mpf*). This data shows that the improvements for design originate in the *franklin2019* energy function where the pore model only plays a minor role in the improvements. A) Fraction of native residues recovered after design for all residues (left) and pore-facing residues (right). Higher values are expected for more accurate energy functions. Results using the *franklin2019* function are purple, *franklin2019* with the pore are orange, *mpframework2012* are pink, *mpframework2012* are yellow. B) Average recovery rates for each individual amino acids relative to the background probability of randomly guessing the native amino acid (1/20). Higher values are expected for more accurate energy functions. C) Kullback-Leibler (KL) divergence measures how different distributions are of designed amino acids compared to native distributions. Lower values are expected for more accurate energy functions.

192  
 193 (Figure 2A, B). If only pore-facing residues are taken into account, inclusion of the pore for *franklin2019*  
 194 shows a significant difference in all three metrics. Differences in performance when including the pore  
 195 for *mpframework2012* are minor. An improvement in KL divergence is seen with *franklin2019* across all  
 196 residues, and the difference is greater when only considering pore-facing residues (Figure 2C). On the  
 197 other hand, KL divergence does not show an improvement for *mpframework2012* when including the  
 198 pore.



**Figure 3: Refinement into vesicle geometries** A) Mechanosensitive channel Piezo 1 (PDB ID 6B3R) with the slab transition function mapped onto the structure. A value of zero is shown in pink and indicates that residue is scored in a hydrophobic environment. A value of one is shown in blue and indicates that residue is scored in an aqueous environment. B) Lowest scoring structure after refinement with slab geometry ran on PDB structure with a score of -7447 Rosetta Energy Units (REU). Starting structure (PDB ID 6B3R) shown in gray C) Top view of structure in B. D) RMSD with respect to PDB 6B3R vs score of models from refinement with slab geometry, inset plot shows lowest scoring models. E) Same structure as A with Vesicle geometry transition function with a radius of 120 Å. F) Lowest scoring structure after refinement with vesicle geometry ran on PDB structure with a score of -7588 REU G) Top view of structure in F. H) RMSD with respect to PDB 6B3R vs score of models from refinement with vesicle geometry, inset plot shows lowest scoring models. I) Mitochondrial TOM complex (PDB 6UCU) with the slab transition function mapped onto the structure. J) Lowest scoring structure from generating structures with AlphaFold2 followed by refinement in Rosetta with slab geometry ran on PDB structure with a score of -2968 (REU). Starting structure (PDB ID 6UCU) shown in gray K) Top view of structure in J. L) RMSD with respect to PDB 6UCU vs score of models from AlphaFold2 followed by refinement with slab geometry M) Same structure as I with Vesicle geometry transition function with a radius of 180Å. N) Lowest scoring structure from generating structures with AlphaFold2 followed by refinement in Rosetta with slab geometry ran on PDB structure with a score of -3029 (REU). O) Top view of structure in N. P) RMSD with respect to PDB 6UCU vs score of models from AlphaFold2 followed by refinement with vesicle geometry

## 200                    **Refinement of structures in a curved membrane results in higher quality models**

201            Several MPs exist in curved membranes. To take curvature into account we have implemented a  
202 vesicle geometry where the user would set the vesicle radius to define the degree of curvature. The vesicle  
203 radius is the distance from the center of the vesicle to the center of the membrane. We tested refinement  
204 in a curved membrane on the mechanosensitive channel Piezo 1 (PDB ID 6B3R). The curve of the  
205 detergent micelle around Piezo 1 can clearly be seen in the cryo-EM images (Figure 2 in [17]). When the  
206 slab transition function is mapped onto the structure it is clear that it does not properly represent the  
207 hydrophobic environment needed (Figure 3A). The result of running high-resolution refinement with this  
208 poor membrane placement is evident from the large structural changes in the output models as compared  
209 to the input structure (Figure 3B, C, D), refinement essentially breaks up the protein. Even the lowest  
210 scoring model with the slab geometry has an RMSD of 26 angstroms with large changes compared to  
211 the starting structure shown in gray (Figure 3B, C, D). The vesicle transition function with a radius of  
212 120 Å (based on PPM 3.0 predictions) better fits the membrane geometry in Piezo 1 (Figure 3E). The  
213 lowest scoring model is much closer to the starting structure for the vesicle geometry (~8Å, Figure 3F  
214 and G). While both geometries also produce models that have large positive scores due to clashes, the  
215 percentage of low-scoring, high-quality models is much higher for the vesicle geometry than for the slab  
216 (Figure 3D and H). In another curved membrane example of potassium chloride cotransporter KCC2,  
217 the slab geometry also results in more high scoring, low-quality models (Figure S2 C and F). In this case  
218 though, the slab geometry still also produces several low RMSD, low scoring models, potentially because  
219 the difference in the implicit membrane from the slab and vesicle geometries are not as great as for Piezo  
220 1 (Figure S2 A and D).

## 221                    **Refinement and scoring of AlphaFold2 models in a curved membrane**

222            The TOM complex (translocase of the outer membrane) was recently determined by cryo-EM

223 (PDB ID 6UCU) [52]. PPM 3.0 predicts that TOM complexes induce membrane curvature with a radius  
224 of  $\sim 180$  Å [44]. How the TOM complex structure would be scored in the slab and vesicle geometries can  
225 be seen by mapping the transition function values onto the structure (Figure 3I, M). As a demonstration  
226 of how one could use this implementation in combination with other tools, we used AlphaFold2 multimer  
227 [53] to predict the structure of the TOM complex from the sequence. Then, we used the FastRelax  
228 protocol to optimize and score the predicted models in the slab and the vesicle implicit membrane  
229 geometries. The lowest scoring models for both slab (Figure 3J and K) and vesicle geometries (Figure  
230 3N and O) are similar to the determined structure shown in gray. While the slab geometry results in  
231 output models with slightly lower RMSD values to the PDB structure, they have a much larger range of  
232 scores, indicating that the refinement can't find an ideal fit of the flat membrane around the protein.  
233 Almost all the models from the vesicle geometry have lower scores than the models from the slab  
234 geometry (Figure 3L and P), indicating a better fit of the protein in the vesicle.

### 235 **Modeling two membranes provides a more accurate representation of membrane protein systems** 236 **spanning different bilayers**

237 Another limitation of previous implicit membrane energy functions is only being able to account for  
238 one membrane per system. While one membrane is often sufficient, this ignores cases where one protein  
239 complex spans two membranes, such as in gram negative bacteria. Here we introduce a double vesicle  
240 option that represents the membrane as two vesicles, sharing a single origin but with different radii. One  
241 can approximate two flat membranes by setting a large radius for the vesicles, such as 1000 Å.

### 242 **Implicit membrane for lipid bilayers of two interacting cells**

243 The first example we tested is a gap junction channel, where two transmembrane proteins in lipid  
244 bilayers of different cells are forming the gap junction. We used the connexin 46 gap junction channel  
245 (PDB ID 6MHQ) as a starting structure [25] and refined this structure in both the original slab membrane

246 and in a double membrane. The value of the transition function was mapped onto the structure to visualize  
247 the membrane environment for each case (Figure 4A, B). The double membrane models all have lower  
248 scores than slab models (Figure 4E). This drop in score can be attributed to the *fa\_water\_to\_bilayer* term  
249 which evaluates to zero when residues are sufficiently far from the membrane [39]. Therefore, including  
250 the second membrane results in more residues with negative *fa\_water\_to\_bilayer* values. The output  
251 models are similar for both geometries and the lowest scoring models overlap well with the starting  
252 structure (Figure S3A).

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## Implicit membranes for inner and outer membranes of gram-negative bacteria

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The second example we tested was the AcrABZ-TolC efflux pump (PDB ID 5O66) [26]. The

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transition function values for the slab (Figure 4C) and the double vesicle (Figure 4D) are mapped onto

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the structure to visualize the membrane for each case. In this complex, TolC is embedded in the outer

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membrane, while the AcrB and AcrZ subunits are embedded in the inner membrane (Figure 4D). The

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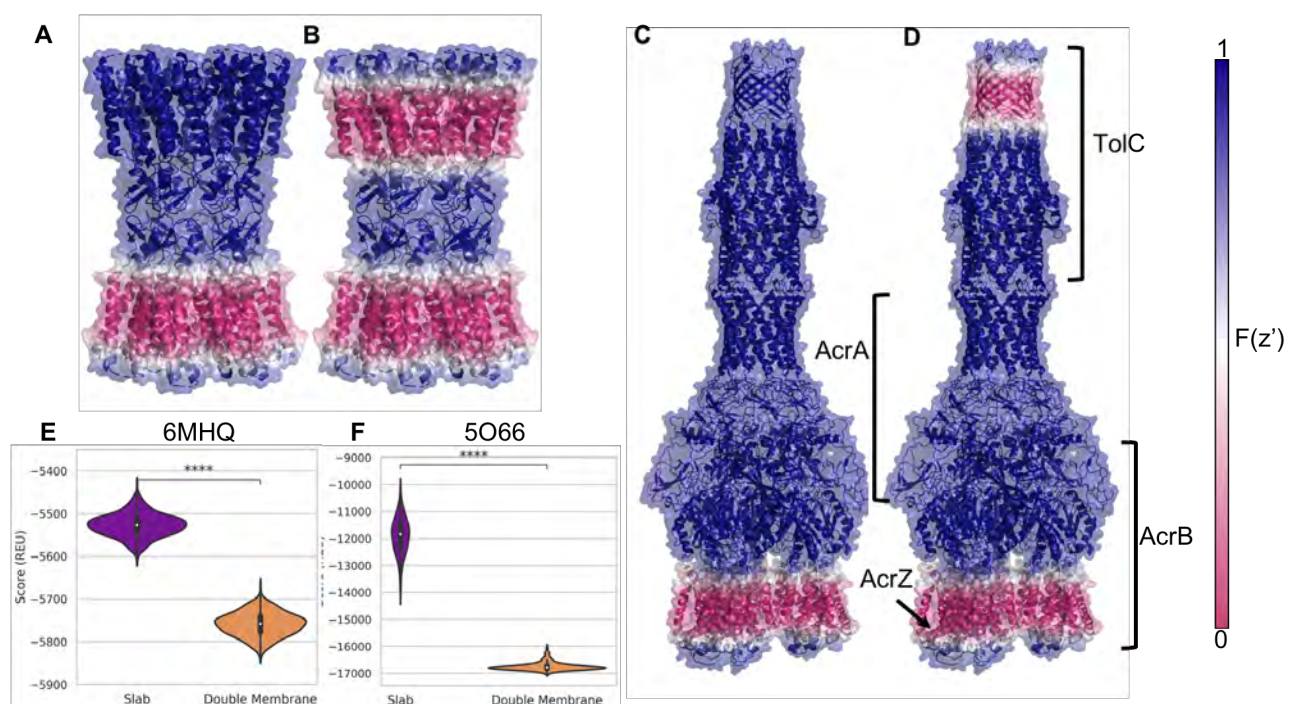
AcrA subunit is in the periplasm [26]. Similar to the previous gap junction example, we see a drop in

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score with the double membrane simulations that can be partially attributed to more residues having a

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negative *fa\_water\_to\_bilayer* scores since there is a second membrane (Figure 4F). Interestingly, the

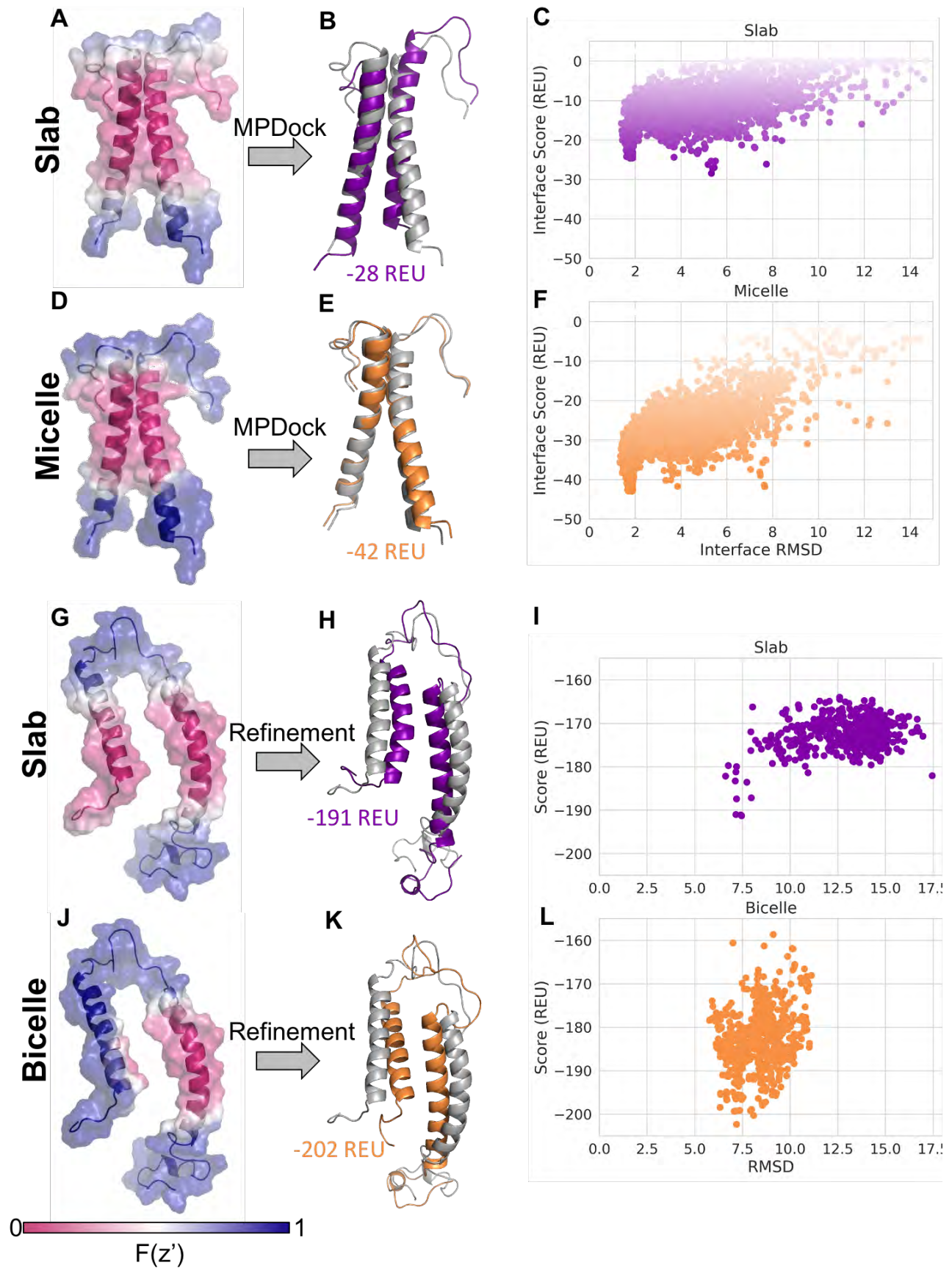


**Figure 4: Refinement in double membrane** A) An intercellular gap junction channel (PDB ID 6MHQ) with the slab transition function mapped onto the structure. A value of zero is shown in pink and indicates that residue is scored in a hydrophobic environment. A value of one is shown in blue and indicates that residue is scored in an aqueous environment. B) The same structure as in A with the double vesicle transition function mapped onto the structure. C) AcrABZ-TolC multidrug efflux pump (PDB ID 5O66) with the slab transition function mapped onto the structure. D) The same structure as in C with the double vesicle transition function mapped onto the structure. E) Score distributions for structural models created by running relax with the starting structure from PDB ID 6MHQ with franklin2019 in the slab (purple) and double vesicle (orange) geometries. F) Score distributions for structural models created by running relax with the starting structure from PDB ID 5O66.

261 score distributions are much wider for models produced by slab geometry where there is a single  
262 membrane represented (Figure 4F and Figure S3C). This results from sampling larger changes of the  
263 membrane position relative to the structure in the slab geometry compared to the double vesicle  
264 geometry, which anchors the complex better in the membrane. The larger range of scores is caused by  
265 the larger range of values in the *fa\_water\_to\_bilayer* score term for the slab models than in the double  
266 membrane models. The output models generated by both geometries superimpose well with the starting  
267 structure and a similar tilt of TolC with respect to the rest of the complex is observed for both geometries  
268 (Figure S3B).

269 **Incorporating experimental model membrane systems geometries in computation leads to more**  
270 **accurate models**

271 For most structure determination methods, MPs must be extracted from the plasma membrane and  
272 reconstituted into a model membrane system. The composition and shape of the model membrane  
273 systems can impact the conformation of MPs. Having the ability to model MPs in geometries similar to  
274 the model membrane system in which the structure was determined in (or in which restraints were  
275 acquired) may provide additional insight into how model membrane systems introduce artifacts in MP  
276 structure and function. We use the ellipsoid model to capture the shape of model membrane systems  
277 including micelles and bicelles.



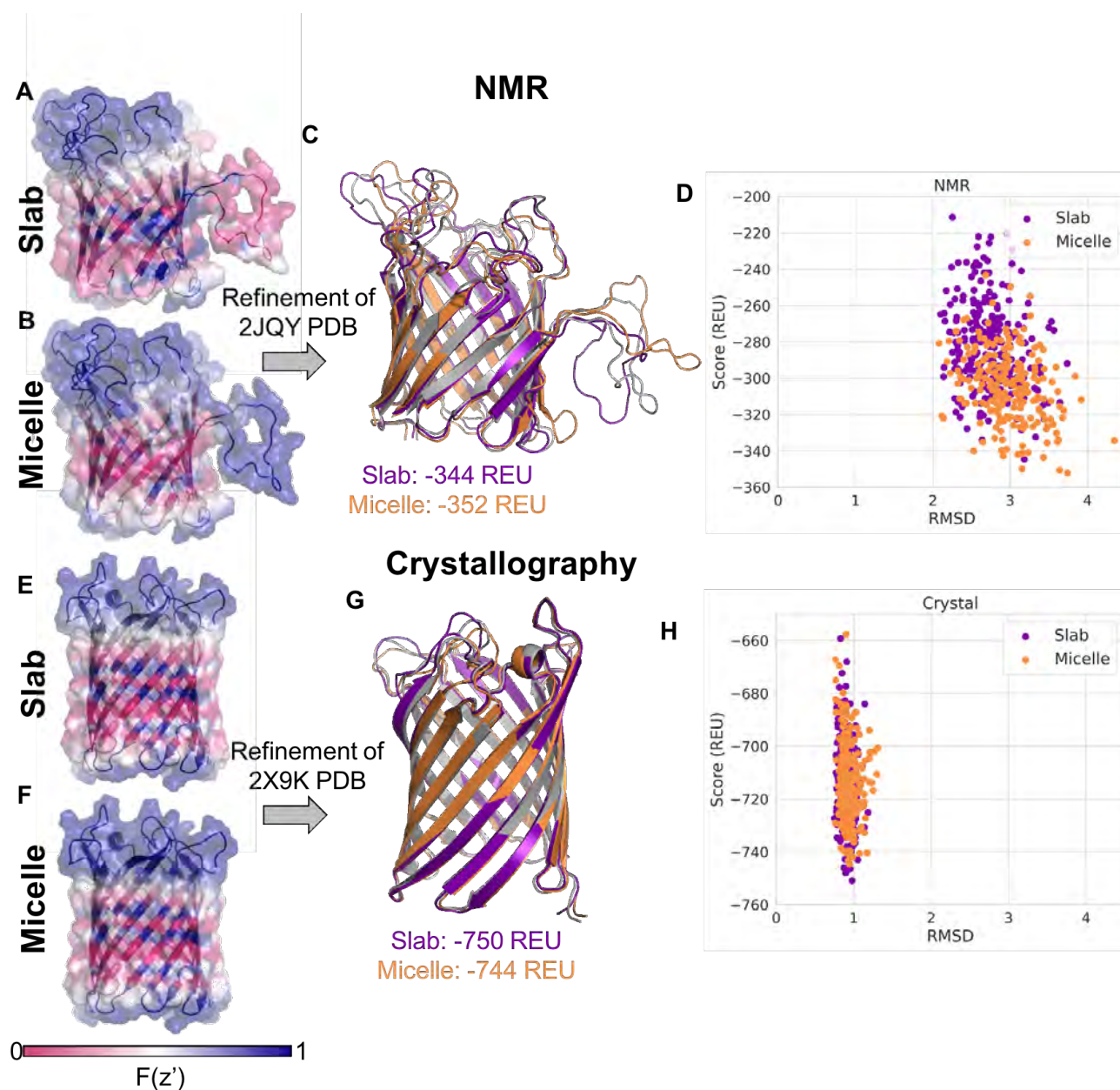
**Figure 5: Docking and refinement with structures in model membrane systems** A) Glycophorin A dimer with slab transition function mapped onto the first NMR ensemble model from PDB ID 1AFO. B) ) Lowest scoring structure after docking with slab geometry with an interface score of -28 REU. Starting structure, PDB 1AFO, shown in gray. C) Interface RMSD and interface score from running MPdock protocol for PDB ID 1AFO in slab geometry, pnear value of 0.06. D) The same structure in A with the micelle geometry with inner radius 1 Å transition function mapped onto the structure. E) Lowest scoring structure after docking with micelle geometry with an interface score of -42 REU. F) Interface RMSD and interface score from running MPdock protocol for PDB ID 1AFO in bicelle geometry, pnear value of 0.63. G) KCNE3 with slab transition function mapped onto the structure of the 4th conformation in NMR ensemble PDB ID 2NDJ. H) Lowest scoring structure after refinement with slab geometry with a score of -191 REU. Starting structure, PDB 2NDJ, shown in gray. I) RMSD with respect to starting structure vs score of models from refinement with slab geometry. J) The same structure in G with the bicelle geometry with inner radius 2 Å transition function mapped onto the structure K) Lowest scoring structure after refinement with bicelle geometry with a score of -202 REU. L) RMSD with respect to starting structure vs score of models from refinement with bicelle geometry.

## 279 **Protein-protein docking in experimentally relevant membrane geometry leads to better model**

### 280 **discrimination**

281 To demonstrate how modeling MPs in model membrane system geometries can be useful, we ran the  
282 protein-protein docking protocol, MPdock [42], on the glycophorin A homodimer, each with a single  
283 membrane spanning domain [54]. The structure of glycophorin A was determined by NMR in detergent  
284 micelles [55]. The MPdock protocol consists of two parts: a pre-optimization step where the single chains  
285 are pulled apart and optimized, and the docking step. Each step was run using either the slab or micelle  
286 geometry. The transition function values for the slab and micelle geometries are mapped on the input  
287 structure (Figure 5A and D). The lowest scoring models for each geometry are superimposed onto the  
288 starting structure (PDB ID 1AFO) shown in gray (Figure 5B and E). The output models of the final step  
289 for each geometry are evaluated using the interface score versus the interface RMSD (Figure 5C and F),  
290 indicating that the models produced with the micelle geometry result in lower scores. The score function  
291 is also better able to discriminate lower RMSD models using the micelle geometry as indicated by the  
292 funnel metric (Pnear) value of 0.63 compared to 0.06 for the slab geometry. Pnear ranges from zero to  
293 one, where higher values indicate the metrics' (in this case the interface score) ability to favor  
294 conformations with lower RMSD value [56].





**Figure 6: Refinement of OmpG NMR and Crystal Structures** A) OmpG with slab transition function mapped onto the structure of the 1st conformation from the NMR ensemble from PDB ID 2JQY. B) The same structure in A with the micelle geometry with inner radius 8 Å transition function mapped onto the structure. C) Lowest scoring structure after refinement, model from slab geometry is purple with a score of -344 REU, model from micelle geometry is orange with a score of -352 REU, starting structure (PDB ID 2JQY) in gray. D) RMSD with respect to starting structure vs score of models from refinement, slab geometry in purple, micelle geometry in orange. E) OmpG with slab transition function mapped onto the crystal structure PDB ID 2X9K. F) The same structure in E with the micelle geometry with inner radius 8 Å transition function mapped onto the structure. G) Lowest scoring structure after refinement, model from slab geometry is purple with a score of -750 REU, model from micelle geometry is orange with a score of -744 REU, starting structure (PDB ID 2X9K) in gray. H) RMSD with respect to starting structure vs score of models from refinement, slab geometry in purple, micelle geometry in orange.

## 310 **Representing geometry of model membrane systems allows separating artifacts from model** 311 **membranes vs. structure determination method**

312 While model membrane systems can impact the protein structure, even in similar systems different  
313 structure determination techniques can result in different conformations [58]. For example, the structure  
314 of outer membrane protein G (OmpG) has been determined in micelles with both NMR (DPC micelles)  
315 [59] and crystallography (OG micelles) [60]. We ran refinement on the NMR model and crystal structure  
316 in both slab and micelle geometries. The NMR model (PDB ID 2JQY) has a large loop that seemingly  
317 wraps around the micelle, but in the slab, geometry is treated like it is in the membrane (Figure 6A). In  
318 the micelle geometry with an inner radius of 8 Å this loop is scored like it is in an aqueous environment  
319 (Figure 6B). After refinement the models do not have large structural differences to the input structure  
320 (Figure 6C and D). However, the score distribution for the micelle geometry is shifted towards more  
321 negative scores as compared to the slab geometry (Figure 6D). Mapping the slab and micelle transition  
322 functions (micelle inner radius of 8 Å) onto the crystal structure (PDB ID 2X9K) does not result in large  
323 visual differences (Figure 6E and F). Refinement in both geometries sample similar structures with scores  
324 in the same range (Figure 6G and H).

## 325 **Discussion**

326 We have implemented a general framework to adapt implicit membrane models to different  
327 geometries. Within this framework, there are currently four options available: slab, bicelle and micelle,  
328 vesicle, and two membranes. These geometries are compatible with existing score functions within the  
329 RosettaMP framework and allow users to accurately model MPs within specific environments based on  
330 their target.

331 The geometry framework was implemented to decouple the pore representation from the score  
332 functions, allowing older score functions within the MPFramework to be tested with the pore. We were

333 able to analyze improvements of including the pore for both *franklin2019* and *mpframework2012* score  
334 functions. Sequence design metrics across all residues in the dataset only showed minimal differences  
335 when including the pore for either score function tested. However, inclusion of the pore only influences  
336 a handful of residues in each structure. When only considering pore-facing residues, there is a significant  
337 difference for all three metrics when structures are designed with *franklin2019*, yet the difference for the  
338 *mpframework2012* score function is minor. *Franklin2019* may perform better with the pore since it was  
339 parameterized and tested with the pore implemented. On the other hand, *franklin2019* may overestimate  
340 the favorability of residues being buried in the membrane [61]; therefore, scoring pore-facing residues  
341 as being in an aqueous environment would help compensate for that.

342 The vesicle geometry allows MPs to be modeled in a curved membrane, providing a more realistic  
343 representation of the MPs involved in membrane curvature. Membrane curvature may not be as important  
344 for small, compact MPs since the curvature would have to be high for the residues considered in the  
345 membrane to change from the slab to the vesicle representation. Large protein complexes are more likely  
346 to benefit from a curved membrane representation. When refining the Piezo 1 channel in both slab and  
347 vesicle, it is clear that the slab model produces low-quality output models where the protein essentially  
348 breaks apart. For the AlphaFold2 model of the TOM complex followed by Rosetta refinement, we see  
349 lower scoring and higher RMSD models for the simulations run with the curved membrane.

350 We can now model large complexes that span two membranes using a double vesicle geometry with  
351 a large radius. For these examples (gap junctions and ArcABZ-TolC complex), there is a clear drop in  
352 score for systems when they are scored with the additional membrane. This is expected since the  
353 membrane domain of these complexes would be unstable if not embedded in the membrane. For the  
354 examples tested, the refinement protocol produces relatively tight ensembles with small differences in  
355 the RMSD of models.

356 MP structures are often determined in a model membrane system. Although the conformations

357 scientists may be interested in are the ones in the native membranes, we typically only have access to  
358 structures in model membrane systems. Model membrane systems are often a surrogate for native  
359 membranes during structure determination experiments, but it is important to keep in mind that the model  
360 membrane affects the energy landscape of the MP. Although the differences in scoring may be subtle, in  
361 some of the examples shown using the model membrane geometry resulted in lower scoring models.  
362 Being able to model different model membrane systems geometries might now allow us to separate  
363 effects from the model membrane system and the structure determination method, yet further studies are  
364 needed in this area.

365 In this work, we show how implicit membrane energy functions can be adopted to represent alternate  
366 membrane geometries instead of only a flat bilayer. Using appropriate geometries can improve both  
367 scoring and sampling. For most examples shown we observe lower scores when using the appropriate  
368 geometry. We observe narrower ranges in both RMSD and score of models being sampled when using  
369 the appropriate geometry, with the sampled conformations having lower RMSD, lower scores, or both  
370 indicating a more focused sampling of desired conformations.

371

## Conclusion

372 Modeling and designing MPs continues to be a challenge in the protein structure field. However,  
373 many advances are being made to improve computational predictions for MPs. The complex and diverse  
374 environments MPs are in, contributes to this challenge. The framework introduced here allows modeling  
375 MPs in different geometries that cover experimentally used model membrane systems, curved  
376 membranes, and two membranes. The ability to model MPs in different model membrane systems opens  
377 the door for studies to separate the effect of model membrane systems and structure determination  
378 methods. The code design ensures the geometries, including the pore, are compatible with different  
379 energy functions, as well as different applications, such as refinement, docking, and design. These

380 applications can be utilized with experimentally determined structures as well as structures generated by  
381 other prediction algorithms such as AlphaFold2. In many cases this framework improves sampling and  
382 scoring of MPs.

383

## Methods

384

### Implementation of implicit membrane geometries

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The RosettaMP framework has score functions that include physics-based score terms that depend on an atom's position relative to the membrane. This dependence on the membrane is achieved through a function that models the transition from the hydrophobic, membrane phase to the aqueous phase, referred to as the transition function. The transition function is adapted from IMM1 [40]. The transition function ranges from zero to one, where an atom in the hydrophobic phase has a value of zero, and an atom far from the membrane has a value of one is scored as it is in an aqueous environment (Figure 1A).

$$\Delta G_{memb} = \sum_{r=1}^{N_{res}} \sum_{a=1}^{N_{atom}(r)} (1 - f_{hyd}) (\Delta G_{w,l}(a)) \quad (1)$$

391

For example, in the `fa_water_to_bilayer` score term from *franklin2019* (equation 1) the  $f_{hyd}$  is the transition function [39]. The  $f_{hyd}$  transition function is a composition of two functions (equation 2),

392

$$f_{hyd} = f_{thk} + f_{cavity} - f_{thk} * f_{cavity} \quad (2)$$

393

one representing the transition along the membrane normal and the other represents an aqueous pore as described in [48] and [39] (Figure 1B). In equation 2,  $f_{cavity}$  describes the transition in the pore and  $f_{thk}$  describes the transition out of the bilayer. Two other membrane dependent score terms, `fa_mpenv`

395

$$f_{hyd} = f_{thk} + h_{bicelle} - f_{thk} * h_{bicelle} \quad (3)$$

Where  $h_{bicelle} = \frac{r'^n}{1+r'^n}$ ,  $r' = \frac{r}{radius}$ , and  $r$  is distance from center of ellipsoid

396 and `fa_mpsolv`, are based on the transition function used in IMM1 [31]. Therefore, the first step in  
397 implementing new geometries was to adapt the existing transition functions to accommodate different  
398 geometries. The transition function can be adapted to an ellipsoid geometry using a composition of  
399 functions (equation 3), similar to how the pore is modeled [48] (Figure 1C, D). In equation 3, the radius  
400 is what we refer to as the outer radius of the bicelle (Figure 1D). The user can set the inner radius using  
401 the `-mp:geo:bicelle_radius` option. The inner radius corresponds to the radius of the planar section of the  
402 ellipsoid, before the curve of the edges (Figure 1D). For a micelle with no planar section, the `inner_radius`  
403 is 0. The outer radius is set as the inner radius + the thickness of the membrane. The radius of micelles  
404 and bicelles in experiments depend both on the lipid and detergents used and the protein itself.  
405 Commonly used micelle and bicelle sizes have been studied and values or equations that depend on the  
406 concentration of detergent and lipid to calculate the radius have been reported [62, 63]. If the user does  
407 not set a bicelle radius, then one is estimated by calculating the largest distance found between two alpha  
408 carbons within three angstroms of the center of the membrane, dividing that distance in half and  
409 multiplying by three to set the bicelle inner radius. This ensures that if a user does not set a radius for the  
410 bicelle, that their protein is not modeled in a bicelle that is smaller than the protein itself which would  
411 not make physical sense, since the lipids or detergent molecules surround the protein so the size is  
412 dependent on the transmembrane domain of the protein [64]. If the user does provide a radius smaller  
413 than the largest distance between alpha carbons in the center of the membrane, a warning is printed that  
414 a larger radius may be needed. Adaptation of the transition function to a sphere is described by Nepal,  
415 Leveritt III, and Lazaridis [65] (Figure 1E). The origin continues to be at the center of the protein and in  
416 the middle of the membrane layers. The center of the sphere is at  $(0, 0, -\text{radius})$  or  $(0, 0, +\text{radius})$   
417 depending on if the protein should be modeled with the membrane curved down ( $-\text{radius}$ ) or the  
418 membrane curved up ( $+\text{radius}$ ). The double bilayer geometry is simply a composition of two sphere  
419 equations (Figure 1F). The origin is at the center of the inner sphere. We have the user set the radius of

420 the inner sphere and the distance between the inner and outer sphere, specifically the distance from the  
421 outer edge of the inner membrane to the inner edge of the outer membrane. To help the user know how  
422 their MP of interest is being scored in the implicit membrane environment we created an application that  
423 maps the transition function value for each atom into the b factor column of a PDB file.

424 To achieve our goals of flexible use across many applications and ease of further development, we  
425 implemented the new geometries as an interface class `MembraneGeometry` and each individual shape as  
426 an inherited class from `MembraneGeometry` (Figure S1). Within the RosettaMP framework the  
427 `MembraneInfo` class stores all necessary information for the implicit membrane [41]. Therefore,  
428 `MembraneGeometry` is a member of `MembraneInfo`, so anytime there is an instance of `MembraneInfo` it  
429 will contain an instance of `MembraneGeometry`. Score terms, such as `FaMPEnv`, `FaMPSolv`, and  
430 `FaWaterToBilayerEnergy`, that depend on an atom's relative position to the membrane, the transition  
431 function value, get that information from `MembraneGeometry`. Previously, even for score terms that used  
432 the same transition function, that transition function was defined in the calculation for each score term.  
433 This creates a situation where one could be updated without updating all of them causing a mismatch in  
434 the implicit membrane being represented across score terms. This update ensures that the implicit  
435 membrane modeled is consistent across score terms.

436 The `MembraneGeometry` interface class houses members that are used across multiple geometries  
437 and virtual functions that must be defined in each inherited class. Thickness, steepness, and  
438 `pore_parameters` are variables that are needed across all geometries. Some geometry classes, such as  
439 `Bicelle`, have additional variables that are stored in the class itself, shown in Figure S1 in the top box of  
440 each geometry. There are three pure virtual functions contained in `MembraneGeometry` that must be  
441 defined in all inherited classes. These are shown in italics in the lower boxes for each class. The first  
442 pure virtual function is `f_transition` which returns the value of the transition function for an atom. The  
443 other virtual functions are `f1` and `f2`. These are functions that allow for the calculation of the transition

444 function derivative for gradient based minimization and are described in Abe et al [66] and this video:  
445 <https://youtu.be/j07ibj-fT1A>. For  $f1$  and  $f2$  one must first determine the point in space that the transition  
446 function value depends on the distance between the atom and that point, referred to as  $r_{alpha}$ . For

$$f1 = \frac{(r_{alpha} \times atom_{xyz})}{|r_{alpha} - atom_{xyz}|} * \frac{dE}{dr} \quad (4)$$

$$f2 = \frac{(r_{alpha} - atom_{xyz})}{|r_{alpha} - atom_{xyz}|} * \frac{dE}{dr} \quad (5)$$

447 example, if the membrane plane is at  $z=0$  and the membrane normal is parallel to the  $z$ -axis, for the slab  
448 model  $r_{alpha}$  for an atom at  $(x1, y1, z1)$   $r_{alpha}$  is  $(x1, y1, 0)$ . If the derivative of the transition function  
449 with respect to an atom's distance from  $r_{alpha}$  is  $\frac{dE}{dr}$ , then  $f1$  and  $f2$  can be described as in equation 4  
450 and 5. If the transition function depends on more than one distance, then  $f1$  and  $f2$  may need to contain  
451 multiple partial derivatives.

452 There are also methods used across multiple geometries that are defined in MembraneGeometry.  
453 These include the originally implemented transition function named `f_imm1`, the transition function for  
454 franklin2019 energy function named `f_franklin`, `f_cavity`, and `f_hyd` which returns the composition  
455 function to include the pore if there is a pore (Figure S1). Each child class also has methods that are  
456 specific for describing that geometry.

457 To ensure proper implementation of each derivative, we implemented unit tests utilizing the Rosetta  
458 benchmarking framework [67] checking that the analytical and numerical derivatives are in fact equal  
459 when calculated during minimization. After these tests were set up for each geometry, including the  
460 classic slab, we realized that some of the previous implementations of the derivatives needed to be  
461 updated. FaMPSolv energy term is a two-body term where the value depends both on an atom's relative  
462 distance to the membrane center and at atom's distance to another atom [31, 41]. However, the previous  
463 implementation only had the partial derivative with respect to the atom's relative distance to the

464 membrane center. We looked at how this derivative might impact results by running refinement on  
465 KCNE3 with the *mpframework2012* energy function that includes the FaMPSolv energy term (Figure  
466 S4). We see only minor shifts in score and RMSD distributions with the slab geometry before and after  
467 the derivative correction. Refinement in the bicelle geometry impacts the distribution the most, similar  
468 to the impact using the franklin2019 energy function (Figure 5I and L). The FaWaterToBilayer energy  
469 term did not include the partial derivative to the membrane center, but since the  $f_{cavity}$  depends on this  
470 distance it needed to be included as well.

### 471 **Protein Design with pore**

472 The protein design analysis done is based on the MP Sequence Recovery benchmark test  
473 implemented within the Rosetta test server framework [51, 67]. Since we were specifically looking at  
474 how inclusion of the pore affected the sequence recovery, we used a subset of the original dataset of only  
475 MPs that included a pore [68]. We ran Rosetta's fixed-backbone design [69] for each protein with both  
476 *franklin2019* and *mpframework2012* energy functions with and without the pore included. The pore  
477 functionality was turned off using the `-has_pore 0` flag. To note, by including this flag with either 0,  
478 1, or empty, the pore is not calculated. We ran the fixed-backbone design protocol three times for each  
479 case. Residues were classified as pore-facing if  $f_{cavity} > 0.1$  and  $f_{thk} < 0.75$ , from equation 2.

### 480 **Relax on Piezo 1**

481 We used PDB ID 6B3R for the starting structure of Piezo 1, downloaded from the OPM database.  
482 The preparation and orientation of the structure is described in detail in the supplemental protocol  
483 capture. After orientation a spanfile was generated using the `mp_span_from_pdb` Rosetta application.  
484 We used the `mp_transition_bfactor` application to visualize the implicit membrane on the  
485 structure. We ran the FastRelax protocol using the franklin2019 energy function with either the slab or

486 vesicle geometry with a radius of 120 angstroms.

### 487 **AlphaFold2 and Rosetta Relax on Mitochondrial TOM complex**

488 We used AlphaFold2 multimer [53] to generate initial decoys for the mitochondrial TOM complex.  
489 The chain sequences from PDB ID 6UCU were used as input [52], with five multimer predictions per  
490 model, making a total of twenty-five models. A span file was created by downloading 6ucu from PPM  
491 2.0 and running the `mp_span_from_pdb` Rosetta application. Output models from AlphaFold2 were  
492 transformed into membrane coordinates using `mp_transform` application, followed by deleting the  
493 EMB virtual residues in the output. These transformed structures were used as input to a FastRelax  
494 protocol using the `franklin2019` energy function with either the slab geometry or a vesicle geometry with  
495 a radius of 180 Å, based on reported radius of curvature from PPM 3.0 [44]. For each of the twenty-five  
496 AlphaFold2 predictions, eighty structures were generated by the FastRelax protocol for a total of 2000  
497 structures for each geometry. Note, to calculate the RMSD within Rosetta we had to edit the chain IDs  
498 to match the output from AlphaFold2.

### 499 **Relax on gap junction channel and AcrABZ-TolC multidrug efflux pump in double membrane** 500 **geometry**

501 The structure for the gap junction channel was obtained by downloading PDB ID 6MHQ [25] from  
502 the OPM webserver [45, 46]. A spanfile was created using the `mp_span_from_pdb` Rosetta  
503 application. The spanfile corresponds to the residues that span the inner membrane. The outer membrane  
504 is determined by setting the option `-mp:geo:double-vesicle_distance` to the distance from  
505 the outer edge of the inner membrane to the inner edge of the outer membrane. For this example, the  
506 `double-vesicle_distance` was set at 40 and the inner vesicle radius was set to 1000 Å, making the  
507 membrane practically flat. We ran FastRelax protocol using `franklin2019` to produce a total of 450

508 structures in both the slab and double vesicle geometries. The RMSD was calculated with respect to the  
509 original pdb structure 6MHQ.

510 The structure for the AcrABZ-TolC multidrug efflux pump was obtained by downloading PDB ID  
511 5O66 [26] from the OPM webserver [46]. A spanfile was similar to above. The double\_vesicle distance  
512 was set to 244 Å and the inner vesicle radius was 1000 Å. We ran FastRelax protocol using *franklin2019*  
513 to produce a total of 200 structures in both the slab and double vesicle geometries.

### 514 **Docking glycophorin A in micelle geometry**

515 To dock glycophorin A we followed the steps described in Alford, Samanta, and Gray [51] for  
516 protein-protein docking using the *franklin2019* energy function. The structure for glycophorin A was  
517 downloaded from both the Protein Data Bank (PDB) [70, 71] and the OPM webserver [46]. The OPM  
518 website only provides the first conformation of the NMR ensemble, so we first wanted to look at all the  
519 conformations. However, we aligned to the OPM structure to move the structures into membrane  
520 coordinates as described in Alford et al. [41, 72]. We chose to use the first conformation in the NMR  
521 ensemble. We did both the prepacking and docking steps in the slab and bicelles geometries, so the  
522 geometry stayed consistent throughout the protocol. We created fifty models during the prepacking step  
523 and used the lowest scoring model as input into the docking step. We output 5000 models for the docking  
524 step. The bicelle inner radius was set at 1 Å.

### 525 **Relax KCNE3 in bicelle geometry**

526 The NMR conformational ensemble PDB ID 2NDJ [57] was downloaded from the PDB and from  
527 the OPM webserver. A spanfile was created using the `mp_span_from_pdb` Rosetta application with  
528 the structure downloaded from the OPM web server. All the conformations from 2NDJ were transformed  
529 with this spanfile using the `mp_transform` Rosetta application. We chose to use the fourth  
530 conformation in the NMR ensemble since the amphipathic helix was position beside the transmembrane

531 helix, where in the slab geometry it would be scored as if it was dipping into the membrane (Figure 5G).  
532 For both the slab and bicelles geometries 1000 output models were produced with the FastRelax protocol  
533 using *franklin2019*. The bicelles inner radius was set to 2 Å. The RMSD was calculated with respect to  
534 the starting structure, the 4<sup>th</sup> conformation from PDB ID 2NDJ.  
535

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and D.F. are employed by or have a relationship with Cyrus Biotechnology. Cyrus Biotechnology distributes the Rosetta software, which includes the methods discussed in this study. V.K.M. is a co-founder of and shareholder in Menten Biotechnology Labs, Inc. The content of this manuscript is relevant to work performed at Menten. J.M. is employed by Menten with granted stock options. D.B. is a cofounder of, shareholder in, or advisor to the following companies: ARZEDA, PVP Biologics, Cyrus Biotechnology, Cue Biopharma, Icosavax, Neoleukin Therapeutics, Lyell Immunotherapeutics, Sana Biotechnology, and A-Alpha Bio. CBK is a co-founder and manager of Stealth Biologics, LLC, a biotechnology company. R.B. is executive director of Prescient Design/Genentech, a member of the Roche group. The remaining authors declare no competing interests.

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